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PTO/SB/05 (08-00) (modified)

Approved for use through 9/30/2001, OMB 0651-0032 Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## **NEW UTILITY** PATENT APPLICATION TRANSMITTAL

NEW UTILITY	Atto	rney Docket Number	23242-07464	10
PATENT APPLICATION	First	Named Inventor	Steven W. Utt	4 . P
TRANSMITTAL (only for new nonprovisional applications under 37 CFR 1.53(b))	Title		Display System Havii Dimensional Convex	Display Surface
	Exp	ress Mail Label No.	EV339368240US	
APPLICATION ELEMENTS			ING APPLICATIO	
1. Fee Transmittal Form (in duplicate)	- [	_	apers (cover sheet & c	
<ol> <li>Applicant claims small entity status.</li> <li>See 37 CFR 1.27</li> </ol>		8. Certified Copy is claim	<pre>/ of Priority Document( ned)</pre>	S) (if foreign priority
3. Specification Total Pages 27		<ol><li>Power of Atto</li></ol>	rney or Authorization o	of Agent
(preferred arrangement set forth below)	-	10. 37 CFR 3.73(	b) Statement	
<ul> <li>Descriptive Title of the Invention</li> <li>Cross Reference(s) to Related Case(s)</li> </ul>		_		
<ul> <li>Statement Regarding Fed sponsored R &amp; D</li> </ul>		11. Preliminary A		
<ul><li>Background of the Invention</li><li>Brief Summary of the Invention</li></ul>		/-· <del></del>	isclosure Statement & opies of IDS Citation(s	ſ
<ul> <li>Brief Description of the Drawing(s)</li> </ul>			n Request under 35 U.	
<ul><li>Detailed Description</li><li>Claim or Claims</li></ul>		(b)(2)(	B)(i). Applicant must a SB/35 or its equivalent	
<ul> <li>Abstract of the Disclosure</li> </ul>	Í	14. Return Pos	•	Ì
4. Drawing(s) (35 U.S.C. Total Sheets 30	7	15.		
5. Oath or Declaration	-	16.		
a. New Declaration Total Pages 3	۱ ٦	17.		
Executed (original or copy)	-	<u> </u>	<del></del>	
b. Copy from a prior application (37 CFR 1.63(d))  (for continuation/divisional with Box 18 completed)  i. DELETION OF INVENTOR(S)				
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).	3			
6. Application Data Sheet. See 37 CFR 1.76		ADDRES	S TO:	
		Commis P.O. Bo	op Patent Application ssioner for Patents x 1450 dria, VA 22313-1450	
18. If a CONTINUING APPLICATION, check appropriate box	and supp	oly the requisite information	below and in a preliminary	amendment or in an
Application Data Sheet under 37 CFR 1.76:  Continuation Divisional Continuation-in	-nart //	CIP) of prior application	on No: /	•,
Prior application information: Examiner:	i-pait (		oup/Art Unit:	ì
For CONTINUATION OR DIVISIONAL APPS only: The entire disci	osure of	the prior application, from v	which an oath or declaratio	n is supplied under
Box 5b, is considered a part of the disclosure of the accompanying co incorporation can only be relied upon when a portion has been inadve				reference. The
		ENCE ADDRESS		
Customer Number and Bar Code Label				
	U	0758		
Name (Print/Type) Michael W. Farn		Registration No.	(Attorney/Agent)	41,015

Signature

July 3, 2003

23242/07464/DOCS/1332974.1

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## Complete if Known **FEE TRANSMITTAL** Application Number Not Yet Known July 3, 2003 Filing Date for FY 2003 First Named Inventor Steven W. Utt Patent fees are subject to annual revision. Examiner Name Not Yet Known Applicant claims small entity status. See 37 CFR 1.27 Art Unit Not Yet Known TOTAL AMOUNT OF PAYMENT (\$) 622 23242-07464 Attorney Docket No.

METHOD OF PAYMENT (check all that apply)			FEE CALCULATION (continued)					
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None     ☐ Deposit Account:		3. AD	DITION	AL FEE	s			
Deposit Account Number	19-2555	Large Entity Small Entity		Entity	Fee Description	Fee Paid		
Deposit Account Name	Fenwick & West LLP	Fee Code	Fee (\$)	Fee Code	Fee (\$)	•		
The Commissioner is authorized to: (check all that apply)  ☐ Charge fee(s) indicated below ☐ Credit any overpayments  ☐ Charge any additional fee(s) during the pendency of this application		1051 1052 1053 1812	130 50 130 2,520	2051 2052 1053 1812	65 25 130 2,520	Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet Non-English specification For filing a request for ex parte reexamination		
Charge fee(s) indicated by the above-identified depo	pelow, except for the filing fee to posit account.	1804 1805	920* 1.840*	1804 1805	920* 1.840*	Requesting publication of SIR prior to Examiner action Requesting publication of SIR after Examiner action	· -	
FEE CA	LCULATION	1251	110	2251	55	Extension for reply within first month		
1. BASIC FILING FEE		1252	410	2252	205	Extension for reply within second month		
Large Entity Small Entity		1253	930	2253	465	Extension for reply within third month		
Fee Fee Fee	Fee Description Fee Paid	1254	1,450	2254	725	Extension for reply within fourth month		
Code (\$)   Code (\$)	·	1255	1,970	2255	985	Extension for reply within fifth month		
1001 750 2001 375	Utility filing fee 375	1401	320	2401	160	Notice of Appeal		
1002 330 2002 165	Design filing fee	1402	320	2402	160	Filing a brief in support of an appeal		
1003 520 2003 260	Plant filing fee	1403	280	2403	140	Request for oral hearing		
1004 750 2004 375	Reissue filing fee	1451	1,510	1451	1,510	Petition to institute a public use proceeding		
1005 160 2005 80	Provisional filing fee	1452	110	2452	55	Petition to revive - unavoidable		
SUBTOT	AL (1) (\$) 375	1453	1,300	2453	650	Petition to revive - unintentional		
2. EXTRA CLAIM FEES	FOR UTILITY AND REISSUE	1501	1,300	2501	650	Utility issue fee (or reissue)		
Extra	a Claims Fee from Fee Paid	1502	470	2502	235	Design issue fee		
Independent	23 X 9 = 207	1503	630	2503	315	Plant issue fee		
Claims 2 -3**	0 X 42 = 0	1460	130	1460	130	Petitions to the Commissioner		
Multiple Dependent	=	1807	50	1807	50	Processing fee under 37 CFR 1.17(g)		
Large Entity Small Entity		1806	180	1806	180	Submission of Information Disclosure Stmt		
Fee Fee Code (\$)	Fee Description	8021	40	8021	40	Recording each patent assignment per property (times number of properties)	40	
1202 18 2202 9	Claims in excess of 20	1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))		
1201 84 2201 42	Independent claims in excess of 3	1810	750 .	2810	375	For each additional invention to be examined (37 CFR 1.129(b))		
1203 280 2203 140	Multiple dependent claim, if not paid	1801	750	2801	375	Request for Continued Examination (RCE)		
1204 84 2204 42	**Reissue independent claims over original patent	1802	900	1802	900	Request for expedited examination of a design application		
1205 18 2205 9	**Reissue claims in excess of 20 and over original patent	Other f	fee (specif	y)				
SUBTOTAL (2) (\$) 207						SUBTOTAL (3) (\$) 40		
*or number previously paid, if greater; For Reissues, see above			ed by Basi	c Filing F	ee Paid	SOBIOTAL (3) (14) 40		
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SUBMITTED BY					Complete (if applicable)
Name (Print/Type)	Michael W. Farn	Registration No. (Attorney/Agent)	41,015		Telephone (650) 335-7823
Signature	Michael W 2		·	Date	July 3, 2003

Approved for use through 10/31/2002. OMB 0551-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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## NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		Steven W. Utt		
Title	Display Syste Convex Displa	m Having a Three-Dimensional ay Surface		
Atty Docket Number		23242-07464		

I hereby certify that the invention disclosed in the attached application has not been and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

July 3, 2003

Date

-

Michael W. Farn, Reg. No. 41,015
Typed or printed name/Registration Number

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).** 

Burden Hour Statement: This collection of information is required by 37 CFR 1.213(a). The information is used by the public to request that an application not be published under 35 U.S.C. 122(b) (and the PTO to process that request). Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This form is estimated to take 6 minutes to complete. This time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.